

Docket for 4/27/2017, 01:00 PM Judge: Brunner, Susan

| <u>Ticket #</u> | <u>Issue Dept</u> | <u>Cont</u> | <u>Respondent</u> | <u>Violation Dt</u> | <u>Occurrence Address</u> | <u>Issuer</u> | <u># of Violations</u> | |
|----------------------|-------------------|-------------|---|---------------------|---|----------------|------------------------|--------------|
| 17-0000024 | Health | * | Keeler, Kevin Bank of New York Mellon, | 8/25/2016 | 1126 HARTREY AVENUE 2121 Waukegan Rd., Bannockburn, IL 600150000 | Kramer, Robert | 25 | |
| <i>Violation(s):</i> | | | | | | | | |
| 9-15-3(A) | 9-16-3, A-R | | PM-104.11 | PM-104.11 | PM-112.1 | PM-302.3 | PM-304.6 | PM-305.3 |
| PM-305.3 | PM-308.1 | | PM-404.3 | PM-404.6 | PM-404.7 | PM-504.1 | PM-504.1 | PM-602.2 |
| PM-603.1 | PM-604.3 | | PM-604.3 | PM-604.3 | PM-605.1 | PM-605.1 | PM-702.4 (F) | PM-704.2 (F) |
| PM-704.2 (F) | | | | | | | | |
| Count: | 1 | | | | | | | |